

# Work and Training Professional Year Final Completion Certificate

Candidate's name

Provisional relevant provider number

Commencement date

Completion date

Exam pass date

The Candidate has satisfactorily undertaken work activities and structured training required for each of Quarters 1, 2, 3 and 4 as set out in the Corporations (Work and Training Professional Year Standard) Determination 2018, completed and maintained a logbook for each quarter and has completed the statutory requirement of one year's full-time equivalent work and training which consisted of at least:

- 100 hours of structured education and training; and
- 1,500 hours of work and supervised experience;

in accordance with their Professional Year Plan.

The Candidate demonstrated a knowledge and understanding of the Code of Ethics and an ability to resolve issues in an appropriate way by, in each of Quarters 3 and 4, satisfactorily identifying and resolving at least two ethical dilemmas relevant to practice as a relevant provider.

We each hereby certify that we are satisfied that the Candidate has achieved the outcomes and acquired the key competencies set out in section 6 of the Corporations (Work and Training Professional Year Standard) Determination 2018.

Signature

Signature

**As Supervisor**

Name

**On behalf of the Licensee**

Name

AR number (from ASIC's Financial Adviser Register)

Business title

Date

Date