

Work and Training Professional Year Quarter 1

Candidate's name

New entrant registration number

Commencement date

Completion date

Work activities hours

Structured training hours

Accelerated Quarter 1

Yes No Reason

The Candidate has:

- satisfactorily completed all work activities and structured training for Quarter 1 as prescribed in their Professional Year Plan; and
- completed and maintained a logbook for their work activities and structured training for the Quarter.

During this Quarter, under my direct supervision or that of other relevant providers I nominated, the Candidate completed work activities within the categories proposed for Quarter 1 in section 10 of the Corporations (Work and Training Professional Year Standard) Determination 2018. These are:

- shadow the Supervisor or other relevant providers nominated by the Supervisor in meetings with a range of clients;
- complete post-meeting documentation as required and undertake follow up actions;
- discuss with the Supervisor appropriate advice strategies suitable to the clients' objectives, financial situations and needs; and
- actively participate in administration and 'back office' activities.

I certify that I am satisfied that the Candidate has satisfactorily completed the requirements for Quarter 1 of the Professional Year and is, in my opinion, capable of satisfactorily completing the work activities and structured training required for Quarter 2 of their Professional Year.

Signature

AR number (from ASIC's Financial Adviser Register)

Supervisor's name

Date