

# Work and Training Professional Year Quarter 2

Candidate's name

New entrant registration number

Commencement date

Completion date

Work activities hours

Structured training hours

Accelerated Quarter 2

Yes    No   Reason

The Candidate has:

- satisfactorily completed all work activities and structured training for Quarter 2 as prescribed in their Professional Year Plan; and
- completed and maintained a logbook for their work activities and structured training for the Quarter.

During this Quarter, under my direct supervision or that of other relevant providers I nominated, the Candidate completed work activities within the categories proposed for Quarter 2 in section 10 of the Corporations (Work and Training Professional Year Standard) Determination 2018. These are:

- prepare for client meetings;
- conduct meetings with clients;
- prepare draft documentation for clients, including:
  - advice strategies; and
  - statements of advice, each suitable to the clients' objectives, financial situations and needs; and
- complete relevant administration and 'back office' activities.

I certify I am satisfied that the Candidate has satisfactorily completed the requirements for Quarter 2 of the Professional Year and is, in my opinion, capable of satisfactorily completing the work activities and structured training required for Quarter 3 of their Professional Year.

Signature

AR number (from ASIC's Financial Adviser Register)

Supervisor's name

Date